

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Jon C. Graff
Appl. No.: To be assigned
Filed: Concurrently herewith
For: SYSTEM AND METHOD FOR AUTHENTICATING A TERMINAL BASED
UPON AT LEAST ONE CHARACTERISTIC OF THE TERMINAL
LOCATED AT A POSITION WITHIN AN ORGANIZATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
CITATION UNDER 37 C.F.R. § 1.97**

Sir:

Attached is a list of documents on form PTO-1449. In accordance with the Office waiver published July 11, 2003, copies of the cited U.S. patents and patent application publications are not enclosed. Applicant does enclose copies of any cited foreign patent documents and non-patent literature in accordance with 37 CFR 1.98(a)(2).

It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

Respectfully submitted,



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Date of Deposit December 30, 2003

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Sarah B. Simmons

Substitute for form 1449/PTO (Revised 04/2003) INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				C mplete if Known		
				Application Number	To be assigned	
				Filing Date	Concurrently herewith	
				First Named Inventor	Jon C. Graff	
				Group Art Unit	To be assigned	
Examiner Name	To be assigned					
Sheet	1	of	2	Attorney Docket Number	042933/272518	
U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Document Number Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear	
	1	US-2001/0021928 A1(Pub.)	09-13-2001	Ludwig et al.		
	2	US-2002/0073308 A1(Pub.)	06-13-2002	Benantar		
	3	US-2002/0144119 A1(Pub.)	10-03-2002	Benantar		
	4	US-2002/0144108 A1(Pub.)	10-03-2002	Benantar		
	5	US-2002/0144109 A1(Pub.)	10-03-2002	Benantar et al.		
	6	US-2002/0152086 A1(Pub.)	10-17-2002	Smith et al.		
	7	US-2003/0028805 A1(Pub.)	02-06-2003	Lahteenmaki		
	8	US-2003/0033528 A1(Pub.)	02-13-2003	Ozog et al.		
	9	US-2003/0115468 A1(Pub.)	06-19-2003	Aull et al.		
	10	US-2003/0115466 A1(Pub.)	06-19-2003	Aull et al.		
	11	US-2003/0163685 A1(Pub.)	08-28-2003	Paatero		
	12	US-2003/0163700 A1(Pub.)	08-28-2003	Paatero		
	13	US-2003/0194089 A1(Pub.)	10-16-2003	Kansala et al.		
	14	US-2003/0212806 A1(Pub.)	11-13-2003	Mowers		
	15	US-2003/0220097 A1(Pub.)	11-27-2003	Aono et al.		
FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document Country Code - Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	English Language Translation Attached
	16	WO 03/071734 A1	08-28-2003	Nokia Corporation		
Examiner Signature				Date Considered		

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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				Group Art Unit	To be assigned
				Examiner Name	To be assigned
Sheet	2	of	2	Attorney Docket Number	042933/272518
OTHER DOCUMENTS					
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			English Language Translation Attached
	17	NATARAJ NAGARATNAM; <i>Secure Delegation for Distributed Object Environments</i> ; Proceedings of the 4 th USENIX Conference on Object-Oriented Technologies and Systems (COOTS), Santa Fe, New Mexico; 1998; 16 pages.			
	18	M. BENANTAR; <i>The Internet public key infrastructure</i> ; IBM Systems Journal; 2001; Pages 648 – 665; Vol. 40, No. 3; 0018-8670/01; IBM.			
	19	S. FARRELL, R. HOUSLEY; <i>An Internet Attribute Certificate Profile for Authorization</i> (Standards Track); 2002; 40 pages; The Internet Society.			
	20	R. HOUSELY, W. POLK, W. FORD, D. SOLO; <i>Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile</i> (Standards Track); 2002; 129 pages; The Internet Society.			
	21	JON C. GRAFF; <i>Cryptography and E-Commerce – A Wiley Tech Brief</i> ; 2001; Chapter 1 (Pages 3 – 19), Chapters 5 – 7 (Pages 61 – 94); Wiley Computer Publishing; John Wiley & Sons, Inc.; USA			

Examiner Signature		Date Considered	
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